

FRONTEGRA STRATEGIES, LLC

BUSINESS CONTINUITY PLAN SUMMARY

I. Emergency Contact Persons

Our firm's two emergency contact persons are: William D. Forsyth, phone number: (847) 509-9860; e-mail: bforsyth@frontier-partners.com) and Elyce Dilworth, phone number: (847) 509-9860; e-mail: edilworth@frontier-partners.com).

II. Firm Policy

Our firm's policy is to respond to a Significant Business Disruption (SBD) by safeguarding employees' lives and firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting all of the firm's books and records, and allowing our customers to transact business. In the event that we determine we are unable to continue our business, we will assure customers prompt access to their funds and securities.

III. Office Location

Our firm has only one office location. The office is located at 400 Skokie Boulevard, Suite 500, Northbrook, Illinois 60062. Our main telephone number is (847) 509-9860. Our employees may travel to that office by means of foot, car, train and bus.

IV. Alternative Physical Location(s) of Employees

In the event of an internal SBD, we will move our principal office to 10850 W. Park Place, Suite 1020, Milwaukee, WI. The main telephone number at this location is (414) 359-0500.

V. Customers' Access to Funds and Securities

Our firm does not maintain custody of customers' funds or securities. In the event of an internal or external SBD, if telephone service is available, our registered person(s) will take customer orders or instructions and contact the appropriate entity on their behalf.

VI. Data Back-Up and Recovery (Hard Copy and Electronic)

Our firm maintains its primary hard copy books and records and its electronic records at its principal office. Ms. Dilworth is responsible for the maintenance of these books and records.

A. Operational Risk

In the event of an SBD, we will immediately identify what means will permit us to communicate with our customers, employees, critical business constituents, critical banks, critical counterparties, and regulators.

B. Financial and Credit Risk

In the event of an SBD, we will determine the value and liquidity of our investments and other assets to evaluate our ability to continue to fund our operations and remain in capital compliance.

VII. Alternate Communications Between the Firm and Customers, Employees, and Regulators; Notification of Other Parties

A. Customers

We now communicate with our customers using the telephone, e-mail, our web site, fax, U.S. mail, and in-person visits at our firm. In the event of an SBD, we will assess which means of communication are still available to us, and use the means closest in speed and form (written or oral) to the means that we have used in the past to communicate with the other party.

B. Employees

We now communicate with our employees in person or using the telephone and e-mail. In the event of an SBD, we will assess which means of communication are still available to us, and use the means closest in speed and form (written or oral) to the means that we have used in the past to communicate with the other party. We will also employ a call tree so that senior management can reach all employees quickly during an SBD. The call tree includes all staff home and office phone numbers.

The person to invoke use of the call tree is Ms. Dilworth.

Caller	Call Recipients
Elyce Dilworth	Carol Ann Kinzer
William D. Forsyth	Patrick Magner
	Monty Memler
	Rob Nanney
	Stephen Pfau
	Mark Ziehr